

Amino-Indanol Catalyzed Enantioselective Reactions of 3-Hydroxy-2-Pyridones

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The Diels–Alder reaction is one of the most important reactions for the synthesis of complex molecules, providing access to carbocyclic compounds containing up to four stereogenic centers in a single step.¹ Asymmetric catalysis in a Diels–Alder reaction has mainly been realized using chiral Lewis acids.² Recently, the use of organic Brønsted acids or Brønsted bases has emerged as a viable alternative for catalytic Diels–Alder reactions.³ Cycloaddition of 2-pyrone and 2-pyridone dienes generates structurally and stereochemically rich bicyclooctenes. However, these dienes have some aromatic character and participate in Diels–Alder reactions less readily.⁴ Deng et al. reported that 3-hydroxy-2-pyridones, using a cinchona alkaloid derivative as a catalyst, can take part in Diels–Alder reactions with excellent ee's.⁵ Okamura et al. were the first to report that the Diels–Alder reactions of 3-hydroxy-2-pyridone can be catalyzed by Brønsted bases.⁶ While preparing a glycosidase inhibitor, Vasella developed a methodology using quinine to promote the reaction between 3-hydroxy-2-pyridone and 8-phenylmenthyl acrylate, leading to a dr of 96%.⁷

Organic bifunctional catalysts possessing both hydrogen bond donor and acceptor moieties have been successful in many enantioselective reactions.⁸ These catalysts are often derivatives of the cinchona alkaloids and/or contain urea/thiourea functionality.⁹ We are keen to develop simple catalysts, such as simple amino-alcohols **1a–d** that empower such modes of interactions (Figure 1).

Preliminary studies showed that the reaction between **2a** and *N*-phenylmaleimide **3a** can be catalyzed by 10 mol % of amino-indanols **1a–d** (Table 1, entries 1–4). In all reactions, only a single diastereoisomer was obtained, the *endo*-adduct. Moderate enantioselectivities were obtained, with catalyst **1a** showing the most promising results. The *cis* relationship of the amino and alcohol functional groups in the amino-indanols was critical for obtaining good enantioselectivity. Chlorinated solvents such as CH₂Cl₂ and CHCl₃ gave the most desired results. When the reaction temperature was lowered to –50 °C, adduct **4a** was obtained with an ee of 93% (entry 5). Subsequently, a series of *N*-substituted pyridones including **2b–c** (entries 6–7) was prepared.¹⁰ With the optimized conditions, both *N*-alkyl and *N*-aryl maleimides **3b–g** (entries 8–13) gave adducts with high ee's.

C4-Derivatives of 3-hydroxy-2-pyridones were prepared by Tsuboi and co-workers using a fairly extensive route,¹¹ while C4-derivatives of 3-hydroxy-2-pyridones were unknown. It was reported that 2-pyridone contains aromatic character,⁴ so we hypothesize that it should undergo electrophilic substitution reactions similar to phenol.

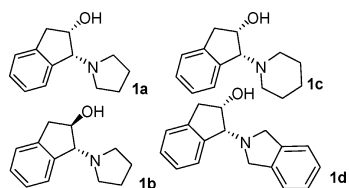


Figure 1. Amino-indanols.

Table 1. Amino-Indanol Catalyzed Diels–Alder Reactions between *N*-Sulphonamide-3-hydroxy-2-pyridones **2a–c** and Maleimides **3a–g**

entry	catalyst	2	3 [R]	4	temp/°C	yield /% ^a	ee /% ^b
1	1a	2a	3a [Ph]	4a	rt	96	61
2	1b	2a	3a [Ph]	4a	rt	97	32
3	1c	2a	3a [Ph]	4a	rt	93	40
4	1d	2a	3a [Ph]	4a	rt	93	53
5	1a	2a	3a [Ph]	4a ^c	–50	93	93
6	1a	2b	3a [Ph]	4b	–50	96	81
7	1a	2c	3a [Ph]	4c	–50	96	88
8	1a	2a	3b [Et] ^d	4d	–50	92	87
9	1a	2a	3c [Bn] ^d	4e	–50	90	89
10	1a	2a	3d [4-EtOC ₆ H ₄] ^d	4f	–50	89	92
11	1a	2a	3e [3,4-Cl ₂ C ₆ H ₃] ^d	4g	–50	90	93
12	1a	2a	3f [4-BrC ₆ H ₄] ^d	4h	–50	92	94
13	1a	2a	3g [4-MeC ₆ H ₄] ^d	4i	–50	95	94

^a Isolated yield. ^b Ee's were determined by chiral HPLC. ^c Absolute configuration of **4a** determined by X-ray analysis. ^d A solvent mixture of CH₂Cl₂ and PhCl (1:1) was used.

Chlorination (eq 1) and bromination (eq 2) were accomplished using sulfuryl chloride and *N*-bromosuccinimide with a catalytic amount of *i*-Pr₂NH as base.¹² 4-Chloro-3-hydroxy-2-pyridone **2d** and 4-bromo-3-hydroxy-2-pyridone **2e** were obtained in 75% and 78% yields, respectively. Pyridone **2a** underwent allylation of its phenolic group with ease (eq 3). Subjecting the *O*-allyl product under refluxing conditions, Claisen rearrangement provided 4-allyl-3-hydroxy-2-pyridone **2f** in good yield.¹³ Catalytic hydrogenation of **2f** reduced only the terminal alkene while keeping the aromatic 2-pyridone core intact, providing 3-hydroxy-4-propyl-2-pyridone **2g**. *O*-TBS-protected **2e** and

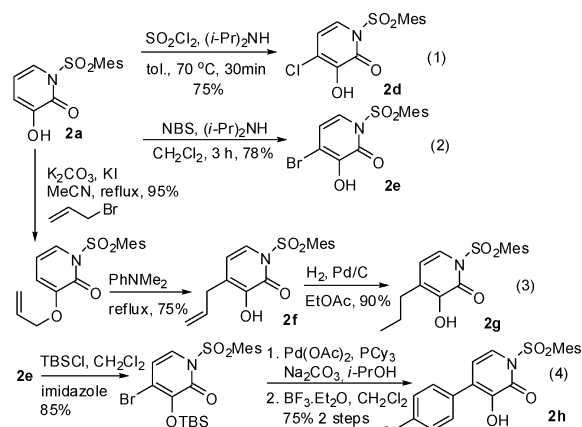


Table 2. Diels–Alder Reactions of 4-Substituted 3-Hydroxy-2-pyridones **2d–h**

entry	2[R ¹]	R ²	adduct	yield/% ^a	ee/% ^b
1	2d [Cl]	Ph	5a	90	92
2	2d [Cl]	Et	5b	88	94
3	2d [Cl]	4-MeC ₆ H ₄	5c	94	95
4	2e [Br]	Ph	5d	92	90
5	2e [Br]	Et	5e	91	90
6	2e [Br]	Bn	5f	92	90
7	2f [Allyl]	Ph	5g	89	87
8	2f [Allyl]	4-MeC ₆ H ₄	5h ^c	90	96
9	2g [<i>n</i> -Propyl]	Ph	5i	93	83
10	2g [<i>n</i> -Propyl]	Et	5j	90	83
11	2h [4-ClC ₆ H ₄]	Ph	5k	89	88

^a Isolated yield. ^b Chiral HPLC. ^c Absolute configuration of **5h** determined by X-ray analysis.

Table 3. Diels–Alder Reactions between **2a** and Alkyl Vinyl Ketones

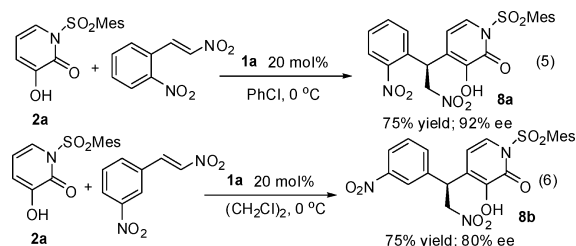
entry	R	6/7 ^a	yield/% ^b	ee/% ^c
1	Me	3:1	85	91, 91
2	Et	3:1	86	90, 90

^a Diastereomic ratio by HPLC. ^b Yield of both isomers. ^c Chiral HPLC.

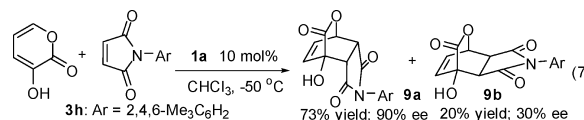
para-chlorobenzene boronic acid coupled smoothly under Suzuki conditions (eq 4).¹⁴ The TBS group was removed with BF₃·Et₂O to give **2h** in good overall yield. Chloro- (Table 2, entries 1–3) and bromo- (entries 4–6) substitutions at the C4 position did not affect the Diels–Alder reaction dramatically; high ee's were observed for several maleimides used. While the sizes of the allyl (entries 7–8) and *n*-propyl (entries 9–10) groups were similar, the allyl substituted pyridone often gave slightly better ee's. 4-Chlorophenyl-3-hydroxy-2-pyridone **2h** also gave a Diels–Alder adduct with a good level of ee (entry 11). We were not able to access C5 and C6 derivatives of 3-hydroxy-2-pyridones as selective electrophilic substitution of these positions seems to be nontrivial.

Terminal olefins such as vinyl ketones were used as dienophiles in the Diels–Alder reactions. Reactions at room temperature gave ee's around 50% and were improved to 90% with a dr of 3:1 when the reaction was carried out at –40 °C (Table 3, entries 1–2). The structures of Diels–Alder adducts **6** and **7** were elucidated using ¹H–¹H COSY and NOE experiments (see Supporting Information, SI). The acrylates, however, gave only the *endo* diastereoisomer with an ee of up to 70% when subjected to the same reaction conditions. These experiments illustrated the versatility of this methodology; both cyclic and acyclic dienophiles can be used.

When β -nitro styrenes were used as the dienophiles, Aldol–Michael adducts **8a–b** were obtained (eqs 5, 6). Adducts **8a–b** resulted from pyridone **2a** behaving exclusively as an alpha enol. Only one single isomer was obtained, and gamma-enol (homoenol) addition was not observed. This provided an indication that it is possible to tune the reactivity of hydroxy-pyridones to behave as a diene, an alpha-enol, or a gamma-enol. The absolute configuration of **8a** was elucidated using X-ray analysis (see SI).



When **1a** was used to catalyze the Diels–Alder reaction of 3-hydroxy-2-pyridone and *N*-mesitylmaleimide, a high level of ee was observed for the major diastereoisomer **9a** (eq 7). Unlike the reaction between 3-hydroxy-2-pyridone and vinyl ketones, the *exo* isomer **9b** gave a low level of ee.



In summary, a new bifunctional catalyst **1a**, containing both Brønsted base and hydrogen bonding donor moieties, has been identified. It is easily prepared in a single step from commercially available amino-indanol. It was found to be an excellent catalyst for Diels–Alder reactions of both 3-hydroxy-2-pyridone and 3-hydroxy-2-pyrone. Work is ongoing to utilize the Diels–Alder adducts as a starting material for natural product synthesis.

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Supporting Information Available: Experimental procedures, characterization, and spectroscopic data. This material is available free of charge via the Internet at <http://pubs.acs.org>.

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